

# Stability Number and $f$ -Factors in Graphs

Mekkia KOUIDER

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km@lri.fr

## Abstract

Let  $f : X \rightarrow N$  be an integer function. An  $f$ -factor is a spanning subgraph of a graph  $G = (X, E)$  whose vertices have degrees defined by  $f$ . In this paper, we prove a sufficient condition for the existence of a  $f$ -factor which involves the stability number, the minimum degree of  $G$  or the connectivity of the graph.

**Keywords:** *Factor, stability number, connectivity, toughness, minimum degree.*

## 1 Introduction

We consider simple graphs without loops. For notation and graph theory terminology we follow in general [10]. Let  $G$  be a graph with vertex set  $X$  and edge set  $E(G)$ . Denote by  $d_G(x)$  the degree of a vertex  $x$  in  $G$ , and by  $\delta(G)$  the minimum degree of  $G$ . A *spanning subgraph* of  $G$  is a subgraph of  $G$  with vertex set  $X$ . Let  $f : X \rightarrow N$  be an integer function. For any subset  $A$  of  $X$ , we denote by  $f(A)$  the sum  $\sum_{x \in A} f(x)$ . A spanning subgraph

$H$  of a graph  $G$  such for every vertex  $x$ ,  $d_H(x) = f(x)$ , is called an  $f$ -factor of  $G$ . Let  $a, b$  be fixed integers. A spanning subgraph  $F$  of  $G$  is called an  $[a, b]$ -factor of  $G$  if  $a \leq d_F(x) \leq b$  for all  $x \in X$ .

For  $S \subseteq X$ , let  $|S|$  be the number of vertices in  $S$  and let  $G[S]$  be the subgraph of  $G$  induced by  $S$ . We write  $G - S$  for  $G[X \setminus S]$ . A set  $S \subseteq X$

is called independent if  $G[S]$  has no edges. Denote by  $\alpha(G)$  the stability number of a graph  $G$ , by  $\kappa(G)$  its vertex connectivity. For any vertex  $v \in X$ , the *open neighborhood* of  $v$  is the set  $N(v) = \{u \in X \setminus v \mid uv \in E(G)\}$ ; for a set  $A \subseteq X$ ,  $N_G(A)$  denotes the set of neighbors in  $G$  of vertices in  $A$ . Given disjoint subsets  $A, B \subseteq X$ , we write  $e(A, B)$  for the number of edges in  $G$  with one extremity in  $A$  and the other one in  $B$ .

If  $S$  is a cutset, let  $h'(G - S)$  be the number of components  $C$  of  $G - S$  such that  $\sum_{x \in C} f(x)$  is odd.

Let  $t$  be a nonnegative real number. We say that  $G$  is  $t$  odd-tough if for each cutset  $S$ ,  $h'(G - S) \leq |S|/t$ . We remark that if  $G$  is  $t$  tough then  $G$  is  $t$  odd-tough.

## 2 Known Results

Given a graph  $G = (X, E)$ , an application  $f$  and a couple of disjoint subsets of  $X$ , we recall that an *odd component*  $C$  of  $G - (S \cup T)$  is a component  $C$  such that  $\sum_{x \in C} f(x)$  is odd.

Many authors have investigated  $f$ -factors, see for example [5]. Tutte ([6]) gave the well-known necessary and sufficient condition for existence of an  $f$ -factor.

**Condition [9]** *A graph  $G = (X, E)$  has an  $f$ -factor if and only if*

$$1) \delta(S, T) = f(S) - f(T) + \sum_{v \in T} d_{G \setminus S}(v) - h(S, T) \geq 0, \text{ disjoint subsets } S$$

*and  $T$  of  $X$*

*where  $h(S, T)$  is the number of odd components of  $G - (S \cup T)$*

$$2) \delta(S, T) \equiv f(X) \pmod{2}.$$

This condition is also a corollary of the  $(g, f)$  factor theorem of Lovász in [6]. However, in practise, this condition remains difficult to verify.

Katerinis and Tsikopoulos established a condition on the minimum degree for the existence of  $f$ -factors.

**Theorem 1 [3]** *Let  $b \geq a$  two positive integers and let  $G = (X, E)$  be a graph with the minimum degree  $\delta$ . Suppose  $\delta \geq \frac{b \cdot |X|}{a + b}$ , and  $|X| >$*

$(a+b)(b+a-3)/a$ . If  $f$  is a function from  $X$  to  $\{a, a+1, \dots, b\}$  such that  $f(X)$  is even, then  $G$  has an  $f$ -factor.

In [2], Katerinis has a condition on the toughness of the graph.

Only few results are known which relate the stability number and factors. Nishimura had sufficient condition for a  $k$  factor.

**Theorem 2** *Let  $r \geq 1$  be an odd integer, and  $G$  be a graph of even order. of connectivity  $\kappa$ . If  $\kappa \geq (r+1)^2/2$ , and,  $\alpha(G) \leq \frac{4r\kappa}{(r+1)^2}$ , then  $G$  has an  $r$ -factor.*

The following result involving the stability number and the minimum degree of a graph was given by M. Kouider and Zbigniew Lonc [4]:

**Theorem 3** [4] *Let  $b \geq a+1$  and let  $G$  be a graph with the minimum degree  $\delta$ . If  $\alpha(G) \leq 4b(\delta-a+1)/(a+1)^2$ , for  $a$  odd and  $\alpha(G) \leq 4b(\delta-a+1)/a(a+2)$ , for  $a$  even. then  $G$  has an  $[a, b]$ -factor.*

Cai has shown that

**Theorem 4** *Let  $G$  be a connected  $K_{1,n}$ -free graph and let  $f$  be a nonnegative integer-valued function on  $V(G)$  such that  $1 \leq n-1 \leq a \leq f(x) \leq b$  for every  $x \in V(G)$ .*

*If  $f(V(G))$  is even,  $\delta(G) \geq b+n-1$  and  $\alpha(G) \leq \frac{4a(\delta-b-n+1)}{(n-1)(b+1)^2}$ , then  $G$  has an  $f$  factor.*

Note that Cai conjectured that that the condition on the stability  $\alpha(G) \leq \frac{4a(\delta-b)}{(b+1)^2}$  is sufficient in connected graphs. We have the following counterexample.

Suppose  $b$  is an odd integer and  $a$  an integer strictly less than  $b$ .

Let  $G_0$  be a connected graph of minimum degree  $\delta$  at least  $(b+1)^3 + b$ . Let  $p = \frac{4a(\delta-b)}{(b+1)^2}$ . In the graph  $G_0$ , we suppose there exists  $S$  be a cutset

of  $k < b$  vertices, such that  $G(S)$  is complete and  $C_1, \dots, C_p$ , the connected components of  $G - S$ , form a family of complete subgraphs of order  $\delta + 1$ , mutually independent. Furthermore  $G(S \cup C_1)$  is complete, and, for each  $i \geq 2$ , exactly one edge joins  $S$  to  $C_i$ . So  $\alpha(G_0) = p = \frac{4a(\delta - b)}{(b + 1)^2}$ .

Let us consider the application  $f$  on  $X$  such that  $f(x) = a$  if  $x \in S$ , and,  $f(x) = b$  otherwise.

If a  $f$  factor exists we should have  $\alpha = c(G - S) \leq a.k$ , so  $c(G - S)$  should be at most  $ab$ . This is not satisfied as  $\alpha = \frac{4a(\delta - b)}{(b + 1)^2} > 4a(b + 1)$ .

One can see the surveys [8] or [5] for other results.

### 3 Main Results

We have established a new sufficient condition for a graph to have an  $f$ -factor; this condition involves the stability number, the minimum degree of the graph.

**Theorem 5** *Let  $b \geq 2$  be an integer and let  $G = (X, E)$  be a connected graph, of minimum degree  $\delta$  at least  $b$ . Let  $f$  be a non-negative integer valued function on  $X$ , such that for each  $x \in X$ ,  $a \leq f(x) \leq b$  and  $f(X)$  is even.*

*If  $\alpha(G) \leq \frac{4a(\delta - b)}{(b + 1)^2}$ , and the odd-toughness of  $G$  is at least  $1/a$ , then*

*$G$  contains an  $f$ -factor.*

Furthermore, we get this corollary.

**Corollary 1** *Let  $b \geq 2$  be an integer and let  $G = (X, E)$  be a graph, of minimum degree  $\delta$  at least  $b$  and connectivity  $\kappa$ . Let  $f$  be a non-negative integer valued function on  $X$ , such that for each  $x \in X$ ,  $a \leq f(x) \leq b$  and*

*$f(x)$  is even. If  $\alpha(G) \leq \frac{4a(\delta - b)}{(b + 1)^2}$ , then*

*$G$  contains an  $f$ -factor.*

**Corollary 2** *Let  $b \geq 2$  be an integer and let  $G = (X, E)$  be a graph, of minimum degree  $\delta$  at least  $b$  and connectivity  $\kappa$ . Let  $f$  be a non-negative integer valued function on  $X$ , such that for each  $x \in X$ ,  $a \leq f(x) \leq b$  and  $f(X)$  is even. If  $\alpha(G) \leq \min(\frac{4a \cdot (\delta - b)}{(b + 1)^2}, a\kappa)$ , then*

*$G$  contains an  $f$ -factor •*

The condition  $\alpha(G) < \frac{4a \cdot (\delta - b)}{(b + 1)^2} + 1$  is necessary if  $b > 2a$ . Let  $\alpha > \delta > b > r$  be four integers. Let us consider a graph  $G_1$  composed by the join of a complete graph  $A = K_{\delta-r+1}$  and  $B$ , the disjoint union of  $\alpha$  complete graphs of order  $r$ . Let  $f$  be a function such that

$f(x) = a$  if  $x \in X(A)$ ,  $f(x) = b$  if  $x \in X(B)$ . If an  $f$  factor exists we get

$$\alpha(G) \leq \frac{a \cdot (\delta - r + 1)}{r \cdot (b + 1 - r)}.$$

For  $b$  odd and  $r = (b + 1)/2$ , we get  $\alpha(G) < \frac{4a \cdot (\delta - b)}{(b + 1)^2} + \frac{2a}{b + 1}$ .

## 4 Proof of Theorem 4

We set first some usefull lemmas.

**Lemma 6**  $\delta(S, T)$  is even.

*Proof* Let  $\mathcal{I}_1$  (respectively  $\mathcal{I}_2$ ) be the set of even (resp. odd) components of  $G - (S \cup T)$ . By definition,

$$f(\mathcal{I}_1) \equiv e(\mathcal{I}_1, T), \quad (1)$$

$$f(\mathcal{I}_2) \equiv h(S, T) + e(\mathcal{I}_2, T), \quad (2)$$

so, by (1) and (2),

$$f(X) = f(S) + f(T) + f(\mathcal{I}_1) + f(\mathcal{I}_2) \equiv f(S) - f(T) + e(G - (S \cup T), T) + h(S, T).$$

As  $f(X)$  is even, the conclusion follows.

**Lemma 7**  $T$  is non-emptyset.

*Proof*

If  $T = \emptyset$  and  $S = \emptyset$ , then  $\delta(S, T) = -h = 0$  as  $G$  is connected and  $f(X)$  is even. If  $T = \emptyset$  and  $S$  is not empty, then  $h(S, T)$  is the number of components of  $G - S$  such that  $f(C)$  is odd.

Either  $S$  is not a cutset, then  $h(S, T) \leq 1 \leq a|S|$ ; or  $S$  is a cutset, as  $G$  is  $1/a$ -tough,  $h \leq a|S|$ .

As  $a|S| \leq f(S)$ , then  $\delta(S, T) = f(S) - h(S, T) \geq f(S) - a|S| \geq 0$ .

**Proposition 1** If  $\alpha(G) \leq \frac{4a(\delta - b)}{(b + 1)^2}$ , then

$$|S| > \delta - b$$

*Proof*

The proof is by contradiction. As  $\delta(S, T) < 0$  and  $a \leq f(x) \leq b$  for each  $x$ , then

$$(\delta - |S|)|T| + a|S| - b|T| - h < 0,$$

so

$$(\delta - |S| - b)|T| < h - a|S|.$$

If  $|S| = \delta - b$ , we get  $|S| < \frac{h}{a} < \frac{\alpha(G)}{a} < \frac{4(\delta - b)}{9}$ . This a contradiction.

Now we assume  $|S| < \delta - b$ , and we get

$$|T| < \frac{h - a|S|}{(\delta - |S| - b)}.$$

If  $h < a|S|$ , then  $|T| = 0$ . As  $h < \alpha$ , then

$$|T| < \frac{4a}{(b + 1)^2} \cdot \frac{((\delta - |b|) - (b + 1)^2|S|)}{(\delta - |b| - |S|)}$$

We get

$$|T| < \frac{4a}{(b + 1)^2} \cdot \left(1 - \frac{((b + 1)^2/4 - 1) \cdot |S|}{(\delta - |b| - |S|)}\right)$$

$$T < \frac{4a}{(b + 1)^2}.$$

As  $b \geq a$ ,  $T < \frac{4a}{(b+1)^2} \leq 1$ , so  $|T| = 0$ . This is in contradiction with Lemma 5.

*End of the proof of the theorem*

Let  $h_2$  be the number of components of  $G - (S \cup T)$  not adjacent to  $T$ . As  $\delta(S, T) < 0$ , we have

$$2|E_T| + |T| + a|S| - (b+1)|T| - h_2 \leq 0, \quad (1)$$

As  $\alpha_T$  the stability number of  $T$  is at least  $\frac{|T|^2}{2|E_T| + |T|}$ , and  $\alpha_T \leq \alpha(G) - h_2$ , we get, using (1),

$$\alpha(G) - h_2 \geq \frac{|T|^2}{(b+1)|T| - a|S| + h_2}$$

Let us set  $|T| = r \cdot |S|$ . Then

$$\alpha(G) - h_2 \geq \frac{r^2 \cdot |S|^2}{(b+1)r|S| - a|S| + h_2}$$

$$\alpha(G) - h_2 \geq \frac{r^2 \cdot |S|}{(b+1)r - a + h_2/|S|}$$

The minimum of the bound as a function of  $r$  is for  $r = \frac{2(a - h_2/|S|)}{b+1}$ . It follows that

$$\alpha(G) - h_2 \geq \frac{4a \cdot |S|}{(b+1)^2} - \frac{4h_2}{(b+1)^2}$$

As by hypothesis  $\alpha(G) \leq \frac{4a(\delta - b)}{(b+1)^2}$ , and  $|S| \geq (\delta - b)$ , we get

$$h_2 \leq \frac{4h_2}{(b+1)^2}.$$

So  $h_2 = 0$ . As  $\delta \geq b$ , then  $\delta(S, T) \geq 0$ . This is a contradiction with the definition of the couple  $S, T$ .

This ends the proof of the theorem 4 •

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